

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Barry MCQUAIN

Serial No. : 09/961,026

Filed : September 20, 2001

Examiner : CHANDLER, Sara M

Group Art Unit: 3693

For : SECURITIES PRICING SYSTEM

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. 1.114

Sir:

In response to the Office Action mailed October 12, 2006, kindly amend the subject application as follows.

The **Claims** Section begins on page 2 of this paper.

The **Remarks** begin on page 9 of this paper.